

Variation in foliar respiration and wood CO₂ efflux rates among species and canopy layers in a wet tropical forest

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Review

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3 **1 Variation in foliar respiration and wood CO₂ efflux rates among species and canopy layers**
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29 Summary

30 Autotrophic respiration may consume an increasing proportion of carbon fixed in
31 photosynthesis at the expense of growth as tropical forests respond to environmental change,
32 potentially turning the forests into a carbon source. Predicting such a response requires that we
33 measure and place autotrophic respiration in a complete carbon budget, but extrapolating
34 measurements of autotrophic respiration from chambers to ecosystem remains a challenge. High
35 plant species diversity and complex canopy structure may cause respiration rates to vary and
36 introduce bias in extrapolation. Using experimental plantations of four native tree species with
37 two canopy layers, we examined if species and canopy layers vary in foliar respiration and wood
38 CO₂ efflux and whether the variation relates to commonly used scalars, mass, nitrogen,
39 photosynthetic capacity, and wood size. Foliar respiration rate varied three-fold between canopy
40 layers, ~0.74 μmol m⁻² s⁻¹ in overstory and ~0.25 μmol m⁻² s⁻¹ in the understory, but little among
41 species. Leaf mass per area, nitrogen, and photosynthetic capacity explained some of the
42 variation, but canopy layer or height explained more. Chamber measurements of foliar
43 respiration can be extrapolated to the canopy with rates and leaf area specific to each canopy
44 layer or height class. If area-based rates are sampled throughout the canopy, mean respiration
45 rate per mass, derived by regressing the area-based rate against leaf mass per area, can be
46 extrapolated to the stand using total leaf mass. Wood CO₂ efflux for overstory trees varied 1.0 -
47 1.6 μmol m⁻² s⁻¹ for overstory trees and 0.6 - 0.9 μmol m⁻² s⁻¹ for understory species. The
48 variation in wood CO₂ efflux rate was mostly related to wood size, and little to species, canopy
49 layer, or height. Mean wood CO₂ efflux rate per surface area, derived by regressing CO₂ efflux
50 per mass against the ratio of surface area to mass, can be extrapolated to the stand using total
51 wood surface area. The temperature response of foliar respiration was similar among species,

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3 52 and wood CO₂ efflux was similar between wet and dry seasons. For these species and this forest,
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5 53 vertical sampling may yield more accurate estimates than would temporal sampling.
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55 Introduction

56 Autotrophic respiration consumes 30–70% of the carbon fixed in photosynthesis to
57 supply energy for metabolism and growth (Charles-Edwards 1981, Ryan et al. 1997, Chambers
58 et al. 2004, DeLucia et al. 2007, Litton et al. 2007, Luysaert et al. 2007). It remains uncertain
59 however whether autotrophic respiration will consume a greater fraction of photosynthesis at the
60 expense of growth as forests respond to changes in temperature, precipitation, and species
61 composition. Because of this uncertainty, predictions of future carbon balance remain difficult,
62 particularly for tropical forests (Chambers et al. 2004, Malhi et al. 2011, Malhi 2012): will
63 tropical forests remain a carbon sink (Fan et al. 1990, Grace et al. 1995, Cao and Woodward
64 1998, Malhi et al. 1998, Phillips et al. 1998, Loescher et al. 2003) or become a carbon source as
65 temperatures increase (Kindermann et al. 1996, Braswell et al. 1997, Tian et al. 1998, Cox et al.
66 2000, White et al. 2000, Cramer et al. 2001, Clark et al. 2003)?

67 Crucial to prediction are fundamental questions about autotrophic respiration that can
68 only be answered by measuring autotrophic respiration and placing it in a whole forest carbon
69 budget. Is autotrophic respiration a constant fraction of photosynthesis (Waring et al. 1998,
70 DeLucia et al. 2007, Litton et al. 2007)? Why then do black spruce (*Picea mariana* (Mill.)
71 Britton, Sterns & Poggenburg (Ryan et al. 1997), and wet primary tropical forests (Chambers et
72 al. 2004, Luysaert et al. 2007, Malhi 2012) consume ~ 70% of photosynthesis compared to
73 assumed 50% (Waring et al. 1998, Litton et al. 2007)? Will the fraction of respiration to
74 photosynthesis change as temperatures increase (Ryan 1991, Atkin 2003, Atkin et al. 2005)? We
75 will only answer these questions by placing autotrophic respiration in the context of a complete
76 carbon balance (Ryan et al. 2004), by measuring the autotrophic respiration for studies where we
77 have all of the other components of the carbon budget (Litton et al. 2007), and developing robust

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3 78 sampling and extrapolation protocols (Sprugel et al. 1995, Cavaleri et al. 2006, Cavaleri et al.
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5 79 2008).

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8 80 Foliar respiration and wood CO₂ efflux rates can vary over 20x within a forest (Sprugel et
9
10 81 al 1995), so schemes to sample, understand, and extrapolate respiration rates are critically
11
12 82 important to producing estimates of aboveground foliar dark respiration and wood CO₂ efflux.
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14 83 Because respiration supports biochemical and physiological processes (Amthor 2000, Thornley
15
16 84 and Cannell 2000), foliar respiration and wood CO₂ efflux rates per unit surface area generally
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18 85 vary with mass (Ryan 1990, Sprugel 1990, Wright et al. 2004), N content (Penning de Vries
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20 86 1975, Field and Mooney 1986, Evans 1989, Ryan 1995, Reich et al. 2006), growth rate
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22 87 (Williams et al. 1987, Williams et al. 1989), and chemical composition of new tissue (Penning de
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24 88 Vries et al. 1974, Chapin 1989, Poorter and Bergkotte 1992).

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27 89 Knowledge of these sources of variation does not yield simple schemes to extrapolate
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29 90 from the chamber to the ecosystem. The relationships between respiration and these predictor
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31 91 variables change within a stand, throughout the year, and with ontogeny (Ryan 1990, Sprugel et
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33 92 al. 1995, Ryan et al. 2009). Other less known sources of variation, such as phloem transport,
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35 93 waste respiration, and translocation of CO₂ from elsewhere (Amthor 2000, Thornley and Cannell
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37 94 2000, Teskey et al. 2008) also may alter the relationship between CO₂ efflux and scaling
38
39 95 variables. The few extant studies in tropical forests showed that species differences in wood CO₂
40
41 96 efflux were related to wood size (Yoda et al. 1965, Yoda 1967) but also to growth rate (Ryan et
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43 97 al. 1994, Ryan et al. 2009, Robertson et al. 2010), and functional groups differed in foliar
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45 98 respiration and wood CO₂ efflux independently of mass or N content (Cavaleri et al. 2006,
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47 99 Cavaleri et al. 2008).

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3 100 In this study, we focus on determining how rates of foliar dark respiration and wood CO₂
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5 101 efflux vary among species and canopy layers so we can understand where to best sample rates,
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8 102 what causes the variation in rates, and how to extrapolate those fluxes to produce unbiased
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10 103 estimates of aboveground autotrophic respiration. We hypothesized that foliar respiration and
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12 104 wood CO₂ efflux rates would vary among species and canopy layers because (1) for foliar
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14 105 respiration, leaves of different species and in different canopy layers have very different cellular
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16 106 activity related to differences in protein (N concentration), photosynthetic activity, and mass; (2)
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18 107 for foliar respiration, short-term temperature response will vary with respiration rate as it did for
19
20 108 a primary forest (Cavaleri et al. 2008), (3) for wood CO₂ efflux, growth process dominate over
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22 109 maintenance of biomass and stems and branches of different species and canopy layers grow at
23
24 110 different rates (Ryan et al. 1994). This variability with species and canopy layers thus (4)
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26 111 produce biased estimates of aboveground respiration flux if measurements are taken at a single
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28 112 point.
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36 114 **Materials and methods**

38 115 *Study site*

40 116 We conducted this study at La Selva Biological Station, in the Atlantic lowlands of Costa
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42 117 Rica (10°26'N, 83°59'N). La Selva's climate is classified as Tropical Wet Forest in the
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44 118 Holdridge system (McDade 1994), with annual mean rainfall and temperature of 4000 mm and
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46 119 26 °C. For 2009 and 2010, when measurements were taken, rainfall was 4500 mm and the
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48 120 temperature averaged 25°C. Soils at the site are acidic, highly leached, high in organic matter
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51 121 content, and classified as Mixed Haplic Haploperox (Kleber et al. 2007).
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3 122 The site was cleared of primary forest in 1955, converted to pasture in 1956, and then
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5 123 continually grazed until 1987. In 1988, an experiment was established with eleven tree species
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8 124 and an abandoned pasture control, replicated over four blocks in a randomized complete block
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10 125 design (Fisher 1995). Plots were 50 x 50 m (0.25 ha), with a single-tree species planted in each
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12 126 plot except for the unplanted control. Understory plants were cleared for the first 3 years until
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15 127 the trees were established, but then allowed to regenerate naturally. By 2009, only four species
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17 128 had enough surviving trees for plot-level measurements, and these were the subjects of this
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20 129 study.

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22 130 The four species studied were *Hieronyma alchorneoides* Allemao (HIAL), *Pentaclethra*
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24 131 *macroloba* (Willd.) Kunth. (PEMA), *Virola koschnyi* Warb. (VIKO), and *Vochysia*
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26 132 *guatemalensis* Donn. Sm. (VOGU). All are native to the surrounding primary forest, and
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29 133 *Pentaclethra* is the dominant species of canopy trees at La Selva, and the only N-fixing species
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31 134 of the four. The stands had aboveground biomass 5410 – 9870 gC m⁻² comparable to 7200 gC
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33 135 m⁻² of surrounding primary forest, and LAI of 5.2 – 6.5 similar to 6.0 in the surrounding forest.
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36 136 The planted trees dominated each species stand with them consisting on average 88% of
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39 137 aboveground biomass. Stand characteristics are in Table 2.1, and further details on the site and
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41 138 its history can be found in Fisher (1995) and Russell et al. (2010). We conducted this study as
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43 139 part of a larger project, ECOS (<http://www.nrem.iastate.edu/ECOS/home>), examining tree
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46 140 species effects on ecosystem processes (Raich et al. 2007, Raich et al. 2009, Russell et al. 2010,
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48 141 Russell and Raich 2012).

50 142 *Sampling*

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53 143 Canopy at the site consisted of two distinct layers, overstory in the upper 15-35 m and
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55 144 understory in the lower 0-15 m. The overstory was occupied by foliage of the planted trees, but
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3 145 the understory included the planted trees, and other trees, forbs, grasses, and ferns from the
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5 146 surrounding forest. Species composition in the understory differed among the overstory species
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8 147 (Table 2.1).
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10 148 Foliar respiration for the overstory trees was measured on ~20 branches (~16 near the top
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12 149 of the canopy and ~4 from lower in the canopy) from two to four individuals per plot using a 30
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14 150 m scaffolding tower (Upright Inc., Dublin, Ireland) for access. Measurements were taken on one
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17 151 plot per species in 2009 during the wetter summer months and on a different plot in 2010 during
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19 152 the less wet winter and spring months. In the understory, 10–15 individuals were sampled per
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21 153 plot in all four blocks (two in summer 2009 and two in winter 2010).
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24 154 Wood CO₂ efflux of the overstory trees was measured at 1.4 m height on 15 trees per plot
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26 155 for all four plots per species in summer 2009 and winter 2010. Wood CO₂ efflux was also
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28 156 measured from the scaffold tower on one to three stems at 1.8 m intervals above 1.4 m and on
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30 157 10–15 branches in upper canopy in two of the four blocks (one in summer 2009 and one in
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32 158 winter 2010). Wood CO₂ efflux for woody understory plants were made on all four plots for the
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34 159 same sampling periods (0–6 trees per plot), but the sample was limited as only a few of the
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36 160 understory plants were large enough for measurement.
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43 162 *Ecophysiological measurements*
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45 163 Foliar respiration was measured on one to five leaves in a 1580 ml volume polycarbonate
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47 164 chamber on detached foliage at night. Branches were cut underwater in the afternoon, placed in
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49 165 a floral tube with water without exposing the cut surface to air, and and CO₂ efflux measured at
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51 166 the lab in the dark from 20:00 and 02:00 (after > 2 hours of darkness). Attached and detached
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53 167 foliage had similar respiration rate in a previous study at La Selva (Cavaleri et al. 2008) and in
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3 168 several studies at other locations (Mitchell et al. 1999, Turnbull et al. 2005). Immediately after
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5 169 measurement, the foliage was measured for leaf area with a leaf area meter (LI-3100, LI-COR,
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8 170 Inc.). The foliage was then dried for 48 hours at 65 °C and measured for leaf dry mass, and
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11 171 ground to a powder with a Wiley mill and measured for leaf N with a C N analyzer (TruSpec
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13 172 CN, LECO, Inc., St. Joseph, Michigan, USA).

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15 173 Wood CO₂ efflux was measured using clear polycarbonate chambers on intact stems or
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17 174 branches between 07:00 and 17:00. Because the chambers were clear, they allowed bark
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20 175 photosynthesis and our measurement was thus a sum of wood tissue respiration (+ flux), bark
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22 176 photosynthesis (– flux), and CO₂ dissolved in the xylem sap (Cernusak and Marshall 2000,
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24 177 McGuire and Teskey 2004, Bowman et al. 2005, Teskey et al. 2008). Wood surface area was
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27 178 estimated as the area inside the gasket creating the seal between the chamber and the wood.
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30 179 Wood volume sampled by the chamber was estimated by multiplying the volume of the
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32 180 underlying wood cylinder (height equal to chamber height) by the ratio of the surface area inside
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34 181 the chamber gasket to the surface area of the wood cylinder (generating a wedge-shaped slice).
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37 182 The volume was then converted to mass using species specific wood density.

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39 183 All chambers had neoprene gaskets to form a seal and a small fan to mix the air inside but
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41 184 varied in size and shape. Chamber volume ranged from 16–47 mL for wood CO₂ efflux
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43 185 (enclosed wood surface area of 3–28 cm²); different sized chambers were used to ensure fit on
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46 186 wood with different diameters. Chamber seals were checked with a flow meter, and wood and
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48 187 foliage surface temperature were measured with an infrared thermometer (OS423-LS, OMEGA
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51 188 Engineering, Stamford, Connecticut, USA). CO₂ efflux was measured with an open-system
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53 189 LCA-3 (Analytical Development Company, Hoddeson, UK) infrared gas analyzer (IRGA) for
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55 190 2009 measurements, or a lab-built closed-system instrument with Li-820 (Li-COR, Inc., Lincoln,
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3 191 Nebraska, USA) and CR10X data logger (Campbell Scientific, Logan, Utah, USA) for 2010
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5 192 measurements. The open-system IRGA drew ambient air from a 19 L mixing container to
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8 193 maintain stable concentration of reference CO₂ during measurements, and the airflow rates
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10 194 through the chamber ranged between 200–340 μmol s⁻¹ for measurements. Both instruments
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13 195 were regularly calibrated with a CO₂ standard.

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15 196 Before detaching the branches to measure foliar respiration at night, the intact leaves
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17 197 were measured for photosynthesis using an open-system portable IRGA (LI-6400, LI-COR, Inc.,
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20 198 Lincoln, Nebraska, USA). The measurements were taken on 5 fully expanded leaves, on the
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22 199 same branches sampled for respiration for foliage in the overstory but on different branches for
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24 200 foliage in the understory. Each leaf was measured once a day for 2–9 days for canopy foliage,
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27 201 and once for understory foliage. Photosynthesis was measured under a reference CO₂ of 390
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29 202 μmol mol⁻¹; at an air flow rate of 500 μmol s⁻¹; and with a saturating level of photosynthetic
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32 203 photon flux density (2000 μmol m⁻² s⁻¹ for leaves at the canopy top and 1500 μmol m⁻² s⁻¹ for
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34 204 lower canopy and understory leaves) after the readings stabilized. Temperature and humidity
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37 205 were not controlled, and ranged 24.5–39°C and 0.5–2 kPa in vapor pressure deficit. Values
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39 206 reported are averages for each branch.

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41 207 A subset of the foliage was also measured at night for the temperature response of foliar
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43 208 respiration by estimating Q_{10} from a temperature response curve. Of the foliage sampled for
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45 209 foliar respiration measurement, four branches from the overstory trees and three individuals of
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48 210 the understory plants from one block per species were measured for temperature response.
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51 211 Temperature response was quantified with Q_{10} , the change in respiration rate with 10°C change
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53 212 in temperature, for foliar respiration measured 15, 20, 25, 30, and 35 °C in a temperature-
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56 213 controlled cuvette (Hubbard et al. 1995) and the closed-system IRGA described above. Foliar
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3 214 respiration was standardized to 25°C using Q_{10} specific to each of the four species and two
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6 215 canopy layers. Wood CO₂ efflux was also standardized to 25°C, using a Q_{10} of 2, because the
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8 216 wood of two tree species in the surrounding forest had Q_{10} of 2.1 and 2.2 (Ryan et al. 1994), and
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10 217 trees in tropical rainforests in Cameroon and Brazil had 1.8 and 1.6 (Meir and Grace 2002).
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15 219 *Data analysis*
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18 220 Foliar respiration and wood CO₂ efflux rates, standardized to 25°C, and Q_{10} values were
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20 221 compared among species and between canopy layers using both a linear model ANOVA and a
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22 222 liner mixed effects model ANOVA at an experiment-wise $\alpha = 0.05$. A mixed effects model was
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24 223 used because the overstory trees and understory plants were sampled in different blocks in 2009
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26 224 than in 2010 with unequal block replicates, and block nested within year was included as a
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28 225 random intercept. The model's independent variables were linear combinations of species and
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30 226 canopy layers, and the dependent variable was foliar respiration, the natural log of wood CO₂
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32 227 efflux, or Q_{10} . Tukey-Kramer multiple comparison procedure was used to account for
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34 228 unbalanced sample sizes. The procedure yielded the same result for both fixed-effects only and
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36 229 mixed-effects models, and we present the results of the simpler fixed-effects only model.
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40 230 Models of foliar respiration and wood CO₂ efflux and various predictor variables were
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42 231 constructed using both fixed- and mixed-effects models. Both model types produced comparable
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44 232 significance and R^2 values for the same candidate variable combinations. For simplicity, we
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46 233 report the result of fixed-effects model, but the reported R^2 values may slightly overestimate the
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48 234 true value. Foliar respiration rate was modeled using three predictor variables: canopy layer
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50 235 (categorical; overstory or understory), species of planted trees (categorical; *Hieronyma*,
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52 236 *Pentaclethra*, *Viola*, or *Vochysia*), and a continuous variable of either LMA (g m⁻²), leaf N
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3 237 content (g m^{-2}), or photosynthetic capacity ($\mu\text{mol m}^{-2} \text{s}^{-1}$). Because all understory samples were
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6 238 taken at ground level (at the same height), we examined the contribution of height of the foliage
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8 239 using height as the continuous variable replacing canopy layer. The predictor variables and their
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10 240 interactions were sequentially omitted from the full model (with all three variables and their
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12 241 interactions) and examined for their significance in predicting foliar respiration.

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15 242 Wood CO_2 efflux was modeled with three predictor variables: canopy layer, species, and
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17 243 a continuous variable of wood surface area to mass ratio enclosed within the measurement
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20 244 chamber. The ratio was used to determine whether wood CO_2 efflux was related to surface area
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22 245 or mass (Levy and Jarvis 1998). The ratio was defined as mass per surface area for modeling
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24 246 area-based rate, and as surface area per mass for mass-based rate: if wood CO_2 efflux per unit
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27 247 surface area is related to mass per surface area, wood CO_2 efflux is related to mass, and if wood
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29 248 CO_2 efflux per unit mass is related to surface area per mass, wood CO_2 efflux is related to
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31 249 surface area (Levy and Jarvis 1998). We separately examined the contribution of wood height as
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33
34 250 the continuous variable. All analysis were done in R (R Core Team 2014), with lme4 (Bates et
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36 251 al. 2012), multcomp (Hothorn et al. 2008), and MASS (Venables and Ripley 2002) packages,
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39 252 and plotted with ggplot2 package (Wickham 2009).

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42 43 254 **Results**

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46 255 Foliar respiration rates varied more within a species than among species, and were higher
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48 256 for the overstory (Fig. 2.1a, $P < 0.01$). Average foliar respiration in the overstory was about
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50 257 three times that in the understory: 0.78 vs. 0.27 $\mu\text{mol m}^{-2} \text{s}^{-1}$ for *Hieronyma*, 0.70 vs. 0.19 μmol
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52
53 258 $\text{m}^{-2} \text{s}^{-1}$ for *Pentaclethra*, 0.66 vs. 0.28 $\mu\text{mol m}^{-2} \text{s}^{-1}$ for *Virola*, and 0.80 vs. 0.26 $\mu\text{mol m}^{-2} \text{s}^{-1}$ for
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55 259 *Vochysia*. Pair-wise comparisons of foliar respiration rates within species and canopy layers

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3 260 (because of a significant interaction in the main effects, $P < 0.01$), showed higher rates for
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5 261 *Hieronyma* and *Vochysia* than for *Virola*, while *Pentaclethra* did not differ from others. Foliar
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8 262 respiration did not differ among species for the understory.
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10 263 Wood CO₂ efflux was also as much as two times higher in the overstory than in the
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12 264 understory (Fig. 2.1b, $P < 0.01$), with means of 1.6 vs. 0.88 $\mu\text{mol m}^{-2} \text{s}^{-1}$ for *Hieronyma*, 1.4 vs.
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14 265 0.90 $\mu\text{mol m}^{-2} \text{s}^{-1}$ for *Pentaclethra*, 0.97 vs. 0.87 $\mu\text{mol m}^{-2} \text{s}^{-1}$ for *Virola*, and 1.0 vs. 0.60 μmol
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16
17 266 $\text{m}^{-2} \text{s}^{-1}$ for *Vochysia*. Pair wise comparisons of CO₂ efflux rates within species and canopy layers
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20 267 (because of a significant interaction in the main effects, $P < 0.03$) showed that the overstory CO₂
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22 268 efflux rate differed from the understory for all species except *Virola*. Overstory wood CO₂
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24 269 efflux rates were higher in *Hieronyma* and *Pentaclethra* than in *Virola* and *Vochysia*, and rates
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27 270 for understory wood were similar among species.
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30
31 272 *Hypothesis 1: The variation in foliar respiration among species and canopy layers is related to*
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33 273 *mass, N content, and photosynthetic capacity*
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36 274 Foliar respiration rate varied with LMA, N content, and photosynthetic capacity strongly
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38 275 across canopy layers but only marginally within (Fig. 2.2). The variation in foliar respiration
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40 276 was explained well by the analysis of covariance models with only the single factor of LMA, N
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42 277 content, or photosynthetic capacity (Fig. 2.2, thin lines; Table 2.2). However, canopy layer
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44 278 explained more variability than those leaf traits, and with canopy layer in the model, model
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46 279 performance improved only slightly by adding LMA, N content, or photosynthetic capacity
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48 280 (Table 2.2). The relationship between foliar respiration rate and LMA, N content, or
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50 281 photosynthetic capacity also had much lower slope within a canopy layer than across (Fig 2.2,
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52 282 thick lines).
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3 283 Height related changes in LMA, N content, and photosynthetic capacity explained most
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6 284 of the variation in foliar respiration rate across canopy layers. We calculated foliar respiration
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8 285 rate per unit mass, per unit N, and per unit photosynthetic capacity to account for height related
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10 286 changes in LMA, N content, and photosynthetic capacity (Fig. 2.3). For every meter in height,
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12 287 the respiration rates increased only slightly with height for both mass based rate ($P < 0.01$, $R^2 =$
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14 288 0.07 , $y = 0.070x + 5.4 \text{ nmol g}^{-1} \text{ s}^{-1}$) and N based rate ($P < 0.01$, $R^2 = 0.11$, $y = 0.0038x + 0.25$
15
16 289 $\mu\text{mol g}^{-1} \text{ N s}^{-1}$), while photosynthetic capacity based rate did not change ($P = 0.73$, $y = 0.066$).
17
18 290 Height alone explained area based respiration rate well (Fig. 2.3, $P < 0.01$, $R^2 = 0.68$, $y = 0.021x$
19
20 291 $+ 0.23 \mu\text{mol m}^{-2} \text{ s}^{-1}$), and model R^2 slightly but always increased when canopy layer was
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22 292 replaced with height for area based respiration rate (Table 2.2).
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27 293 Photosynthetic capacity best explained the variation among species in foliar respiration
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29 294 (Table 2.2). In the model analysis, adding species failed to improve the model fit if the models
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31 295 already contained canopy layer and photosynthetic capacity ($P = 0.08$) but improved fit if the
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33 296 models contained canopy layer and LMA or N content (Table 2.2). Surprisingly, N content
34
35 297 explained the least amount of the variation among species, primarily because *Pentaclethra*, the
36
37 298 N-fixing species, had much lower foliar respiration rate per g N ($P < 0.01$). Its foliage on
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39 299 average contained 42 % more N than other leaves of overstory species, but had similar foliar
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41 300 respiration rate per unit area (Fig. 2.1).
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48 302 *Hypothesis 2: Short-term temperature response of foliar respiration will vary with respiration*
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50 303 *rate*
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53 304 Instantaneous temperature response (Q_{10}) of foliar respiration did not vary with
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55 305 respiration rate ($P = 0.80$). The values of Q_{10} were similar for all species and canopy layers,
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3 306 except for *Pentaclethra* foliage in the overstory. The mean values of Q_{10} were, *Hieronyma* = 1.6,
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5 307 *Pentaclethra* = 2.6, *Virola* = 1.6, *Vochysia* = 1.8 for overstory; and 1.9, 1.7, 1.5, and 1.4
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7
8 308 respectively in the understory.
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12 310 *Hypothesis 3: The variation in wood CO₂ efflux is related to growth process (surface area) not*
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14 311 *maintenance (biomass)*
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16 312 Surface area better explained the variability in wood CO₂ efflux than did mass (Fig. 2.4),
17
18 313 but both were significant ($P < 0.01$; Fig. 2.4). The greater R^2 for the relationship indicating
19
20 314 surface area (0.49 vs. 0.31) suggests that growth processes contribute more to efflux than does
21
22 315 the maintenance of woody tissues (Levy and Jarvis 1998). We used rates based on surface area
23
24 316 for further analysis to account for the relationship between growth processes and wood CO₂
25
26 317 efflux, and the variation in the efflux rate per surface area was only marginally related to canopy
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28 318 layer ($R^2 = 0.07$, $P < 0.01$) species ($R^2 = 0.05$, $P < 0.01$), or the two combined ($R^2 = 0.14$, $P <$
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30 319 0.01). Unlike foliar respiration, wood CO₂ efflux per unit surface area slightly decreased with
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32 320 height ($P < 0.01$, $R^2 = 0.01$, slope = 0.99).
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41 322 *Hypothesis 4: Variability in foliage respiration and wood CO₂ efflux with species and canopy*
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43 323 *position will bias ecosystem estimates if measurements are taken at a single point*
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45 324 Because foliar respiration rate at the leaf level was higher in the overstory than in the
46
47 325 understory, measurements taken only in either one would produce biased estimates of foliar
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49 326 respiration for the ecosystem (Fig. 2.5). As an example, consider a forest with an LAI of six,
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51 327 four in the overstory and two in the understory, with the mean foliar respiration rates of this
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53 328 study, $0.25 \mu\text{mol m}^{-2} \text{s}^{-1}$ in the understory and $0.74 \mu\text{mol m}^{-2} \text{s}^{-1}$ in the overstory. This would
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3 329 yield an ecosystem estimate of $3.5 \mu\text{mol m}^{-2} \text{ground s}^{-1}$, compared with $4.4 \mu\text{mol m}^{-2} \text{s}^{-1}$ if just
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6 330 the overstory was sampled, or $1.5 \mu\text{mol m}^{-2} \text{s}^{-1}$ if just the understory were sampled. A sampling
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8 331 scheme focused on fewer samples would also likely bias the ecosystem estimate, given the large
9
10 332 within-species variability.

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13 333 Unlike foliar respiration, variation in wood CO_2 efflux was not well explained by the
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15 334 canopy layer when differences in the ratio of wood surface area to mass were accounted for, and
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17 335 extrapolations based on surface area are unlikely to produce bias estimates of wood CO_2 efflux.
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20 336 Wood surface area is rarely measured however, and most often wood mass is used to extrapolate
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22 337 chamber measurements to the stand. Mass based measurements of wood CO_2 efflux increases
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24 338 for wood as diameter decreases, and because smaller diameter branches and stems have higher
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27 339 efflux, extrapolation using rates per mass and wood biomass will underestimate stand wood CO_2
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29 340 efflux (Fig. 2.6). With a wood mass of $20,000 \text{ g m}^{-2}$, and 1/3 of that with diameter $< 10 \text{ cm}$,
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31 341 wood CO_2 efflux for the stand would be $1.2 \mu\text{mol m}^{-2} \text{s}^{-1}$ (using the mean efflux for wood with
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33 342 diameter $> 10 \text{ cm}$ in this study of $0.06 \text{ nmol CO}_2 \text{ g}^{-1} \text{ s}^{-1}$). Accounting for higher mean wood CO_2
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35 343 efflux rate for small wood ($0.22 \text{ nmol CO}_2 \text{ g}^{-1} \text{ s}^{-1}$) yielded a stand-level estimate of $2.3 \mu\text{mol m}^{-2}$
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37 344 s^{-1} . The larger the fraction of large wood in the forest, the lower the bias would be.

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41 345 The temperature response of foliar respiration differed among species, but the difference
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43 346 produced only a minor bias compared with a single temperature response because temperature
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46 347 varies very little in this forest (Fig. 2.7). Agren and Axelsson (1980)(Agren and Axelsson 1980)
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48 348 derived a formula to calculate the effect on respiration sums from variation in daily and annual
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50 349 temperature relative to constant temperature, and we calculated how this effect changes with Q_{10} .
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53 350 The difference in the lowest to highest Q_{10} we observed (1.4 to 2.6) increased the annual CO_2
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55 351 efflux estimated from mean temperature by 1.04 to 1.16 with an daily and annual amplitude of

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3 352 2°C and 8 °C, within a range of historic values (McDade 1994). Annual fluxes could be
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6 353 estimated with low bias from mean annual temperature and common Q_{10} .

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10 355 **Discussion**

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13 356 *Foliar respiration was only weakly related to mass, N, and photosynthetic rate within the*
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15 357 *overstory and understory*

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17 358 Leaf N content, photosynthetic capacity, or LMA were of minor importance in explaining
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20 359 the variability of foliar respiration within a canopy layer (Fig. 2.2). These weak relationships
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22 360 suggest that predictions of the worldwide leaf economic spectrum (Wright et al. 2004, Shipley et
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24 361 al. 2006) may not be appropriate for explaining differences within a canopy. This is not to say
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26 362 that the maintenance of dry mass and proteins, especially those associated with photosynthesis, is
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28
29 363 unrelated to foliar respiration. Across canopy layers, the variability of foliar respiration did
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31 364 follow the predictions of the spectrum as we hypothesized (Fig. 2.2), consistent with existing
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33 365 data in the neotropics (Oberbauer and Strain 1986, Meir et al. 2001, Domingues et al. 2005,
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35 366 Cavaleri et al. 2008, Ryan et al. 2009, Metcalfe et al. 2010). However, the weak relationships
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37 367 within a canopy layer suggests that foliar respiration in these species includes components
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39 368 unrelated to maintenance respiration, such as overflow respiration to decrease excess
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42 369 carbohydrates, respiration to fuel phloem loading, and respiration for ion gradient maintenance
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44 370 (Penning de Vries 1975, Bouma et al. 1995, Amthor 2000, Cannell and Thornley 2000).

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46 371 Presence of these components is supported by existing data as well. In the surrounding primary
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49 372 forest, foliar respiration increased with height even for mass based and N based rates accounting
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51 373 for height related changes in LMA and N content ($\sim 0.08 \text{ nmol g}^{-1} \text{ s}^{-1}$ and $\sim 0.004 \text{ } \mu\text{mol g}^{-1} \text{ N s}^{-1}$
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53 374 for every meter; Cavaleri et al 2008). Mass based foliar respiration rate increased 43% under
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3 375 imposed drought from rainfall exclusion (Metcalf et al. 2010), and area based foliar respiration
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5 376 rate increased 60 – 250% during the dry season in the Amazon (Miranda et al. 2005). Hourly
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8 377 rates of foliar respiration varied diurnally between 0.34 – 0.74 $\mu\text{mol m}^{-2} \text{s}^{-1}$ without a clear
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10 378 pattern (Chambers et al. 2004). Determining how foliar respiration reflects components
11
12 379 unrelated to maintenance respiration will not only improve the accuracy of stand level estimates
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14 380 and account for special and temporal variations, but also of prediction of foliar respiration
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16 381 response under climate change.
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22 383 *Wood CO₂ efflux rates and patterns between plantation secondary forests and primary forests*
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24 384 Wood CO₂ efflux rates and their variability were generally similar to the studies in the
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26 385 primary forests. The rates we observed are consistent with those measured at the ground level on
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28 386 two different species of the primary forest in an earlier study ($\sim 1.0 \mu\text{mol m}^{-2} \text{s}^{-1}$, Ryan et al
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30 387 1994), on wood > 10 cm in diameter in lowland Amazon forest ($\sim 1.1 \mu\text{mol m}^{-2} \text{s}^{-1}$, Robertson et
31
32 388 al 2010) and in *Eucalyptus* plantations in Hawaii and Brazil (0.06 $\text{nmol g}^{-1} \text{s}^{-1}$ this study; ~ 0.06
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34 389 $\text{nmol g}^{-1} \text{s}^{-1}$, Ryan et al 2009). We found that wood CO₂ vary considerably from 0.09 to 3.9
35
36 390 $\mu\text{mol m}^{-2} \text{s}^{-1}$, and the variation was mostly unrelated to species and canopy layer. The variability
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38 391 was also large in primary forests (in $\mu\text{mol m}^{-2} \text{s}^{-1}$: 0.1 – 5.2, Meir and Grace 2002, 0.03 – 3.6,
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40 392 Chambers et al 2004, ~ 0 – 4.5, Cavaleri et al 2006, ~ 0 – 4.5, Robertson et al 2010), but smaller
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42 393 for two species of trees in the surrounding primary forest (0.3 – 2.1, Ryan et al. 1994) perhaps
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44 394 due to smaller sample size. The variability was related to both growth and maintenance
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46 395 processes, and the relationships were fairly similar among species and canopy layers, also
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48 396 consistent with other studies (Ryan et al. 1994, Meir and Grace 2002, Robertson et al 2010).
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3 397 Respiratory cost of growth and maintenance may be well conserved within a functional group in
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5 398 tropical forests.

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8 399 An exception was the higher wood CO₂ efflux from large diameter wood and the lack of
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10 400 increase in wood CO₂ efflux with height compared to the observations in the primary forest
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12 401 (Cavaleri et al. 2006). Wood CO₂ efflux for larger diameter wood averaged 1.2 μmol m⁻² s⁻¹ at
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14 402 ground level and slightly decreased with height in the secondary forest, compared to ~0.8 at the
15
16 403 ground level increasing to ~1.7 μmol m⁻² s⁻¹ in the upper canopy of the primary forest (Cavaleri
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18 404 et al. 2006). The difference may be related to some combination of greater proportion of large
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20 405 size classes, higher growth rate for branches in upper canopy (Ryan et al. 1994, Ryan et al.
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22 406 2009), or composition of species or functional groups (Cavaleri et al. 2006). These
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24 407 interpretations are complicated by limits to radial diffusion of CO₂ in wood. The interpretations
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26 408 assume that local processes alone cause the variation in chamber measurements, but wood tissue,
27
28 409 especially cambium, limits radial diffusion of CO₂ and causes CO₂ from elsewhere in the stem or
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30 410 roots to dissolve in xylem and phloem streams and be transported to the site of measurement
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32 411 (McGuire and Teskey 2004, Spicer and Holbrook 2005, Teskey et al. 2008, Aubrey and Teskey
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34 412 2009, Trumbore et al. 2012). The diffusion barrier itself may explain why wood CO₂ efflux was
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36 413 proportional to surface in this study.
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45 *Sampling scheme reduce bias in estimating annual aboveground autotrophic respiration*

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48 416 Our results suggest a vertical transect to reduce bias in estimates of annual aboveground
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50 417 autotrophic respiration for a wet tropical forest. Sampling within a canopy layer or at any
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52 418 position within the canopy fails to measure the substantial variation in foliar respiration within
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54 419 the upper and lower canopy and with height (Fig. 2.2), primarily driven by the differences in
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3 420 respiration rates among sampling positions and not the distribution of LAI (Fig. 2.5). Cavaleri et
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5 421 al. (2008) showed that when full vertical transect is taken, overall mean respiration rate and LAI
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7 422 produces similar estimates compared to more complex models with height structure. Taken
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9 423 together, they suggest that simple extrapolation models with mean respiration rate and stand LAI
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11 424 produces unbiased estimates of ecosystem foliar respiration as long as the vertical transect is
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13 425 made to capture the variability in respiration rate along height. Similarly, unbiased estimates of
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15 426 ecosystem wood CO₂ efflux may require a vertical transect, although our results suggests that
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17 427 stand level estimates may be made with little bias if enough small diameter wood can be sampled
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19 428 at the ground level, as wood size was the primary cause of the variation in wood CO₂ efflux.
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21 429 Our finding contradicted the observations in the primary forest, and thus vertical transect should
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23 430 be sampled, if only to test whether wood CO₂ efflux changes with height.
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29 431 Though ecosystem respiration may be uniquely aseasonal in wet tropical forests, the
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31 432 variation in foliar respiration and wood CO₂ efflux may be common in all forests. The forest in
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33 433 this study has some seasonality in air temperature and rainfall, with slightly less wet season in
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35 434 the spring months (McDade 1994). We measured wood CO₂ efflux during the wet season in
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37 435 2009 and again during the less wet season in 2010 on the same individual, and all species had
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39 436 similar wood CO₂ efflux at 1.4 m height ($P = 0.26$), except *Hieronyma*. The difference in wood
40
41 437 CO₂ efflux for *Hieronyma* was small, 1.9 $\mu\text{mol m}^{-2} \text{s}^{-1}$ in 2009 and decreased to 1.6 $\mu\text{mol m}^{-2} \text{s}^{-1}$
42
43 438 in 2010 ($P < 0.01$). Wood CO₂ efflux at breast height showed no clear seasonality in a more
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45 439 detailed measurement in the primary forest (Cavaleri et al. 2006). The smaller temperature
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47 440 fluctuation also reduces the effect of Q_{10} on annual estimates of respiratory flux (Fig. 2.7). This
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49 441 evidence combined support the idea that plant respiration can be estimated and studied from
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51 442 measurements made once or twice a year (Yoda et al. 1965, Ryan et al. 1994, Chambers et al.
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3 443 2004, Cavaleri et al. 2006). However, tropical forests may not be unique in the variation in foliar
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5 444 respiration and wood CO₂ efflux within and among canopy layers. Foliar respiration varies
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8 445 within a canopy in other forests likely as a function of light and height (Brooks et al. 1991,
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10 446 Bolstad et al. 1999, Griffin et al. 2001, Law et al. 2001, Rayment et al. 2002, Turnbull et al.
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12 447 2003). Wood CO₂ efflux varies within canopy also, as a function of size and height (Lavigne
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15 448 1988, Sprugel 1990, Edwards and Hanson 1996, Ceschia et al. 2002, Damesin et al. 2002).
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19 20 450 **Conclusions**

21
22 451 Foliar respiration varied a little among species and more substantially between canopy
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24 452 layers. The variation was related to LMA, leaf N, and photosynthetic capacity across canopy
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27 453 layers, but only marginally within, perhaps because foliar respiration includes a substantial
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29 454 contribution from components unrelated to maintenance. Wood CO₂ efflux varied slightly
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32 455 among species and canopy layers and much more within, and the variation was related to the
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34 456 ratio of wood mass to surface area. Wood CO₂ efflux may depend on wood growth, but other
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36 457 factors such as diffusion and CO₂ dissolved in xylem stream may need to be accounted for.
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39 458 Temperature response was similar for all but *Pentaclethra*, and relatively constant temperature
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41 459 reduced the effect of different Q_{10} in producing a bias in annual estimates. Our results suggest
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43 460 that chamber measurements of foliar respiration can be extrapolated to the canopy with rates and
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46 461 leaf area specific to each canopy layer or height class. Alternatively, if area-based rates are
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48 462 sampled throughout the canopy, mean respiration rate per unit mass derived by regressing the
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51 463 area-based rate against leaf mass per area can be extrapolated to the stand using total leaf mass.
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53 464 Mean wood CO₂ efflux rate per unit surface area, derived by regressing CO₂ efflux per unit mass
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55 465 against the ratio of surface area to mass, can be extrapolated to the stand using total woody tissue
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3 466 surface area. For these species and this forest, vertical sampling may yield more accurate
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6 467 estimates than would temporal sampling.
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9
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14

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712 Table 1. Mean and maximum values of density, diameter at breast height and height, and leaf
 713 area index (LAI) for overstory (planted) trees and understory plants of each species' plot.

Planted species	Stem		Diameter		Height		LAI	
	Density		(cm)		(m)		Over- story	Under -story
	Mean	Max	Mean	Max	Mean	Max		
<i>Hieronyma alchorneoides</i> (HIAL)	165	176	25	33	23	47	3.7	1.6
<i>Pentaclethra macroloba</i> (PEMA)	294	380	21	27	14	52	5.0	1.5
<i>Virola koschnyi</i> (VIKO)	226	284	24	30	20	43	3.7	2.6
<i>Vochysia guatemalensis</i> (VOGU)	255	280	31	40	24	62	3.1	3.1

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715 Data taken in 2009 survey as part of a larger study (ECOS,

716 <http://www.nrem.iastate.edu/ECOS/home>), and LAI taken from Russell et al (2010).

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3 718 Table 2. Values of R^2 for models predicting foliar respiration rate ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$). All
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6 719 models had $P < 0.01$ except of species only model (NS). Continuous variables were LMA (g m^{-2})
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8 720 2), N content (g m^{-2}), photosynthetic capacity ($\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$), and height (of foliage sample
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11 721 taken, m), and categorical variables were canopy layer (overstory or understory) and species
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13 722 (*Hieronyma*, *Pentaclethra*, *Virola*, or *Vochysia*).
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Predictor variables	R^2
Species	NS
Canopy layer	0.65
Species \times Canopy layer	0.67
LMA	0.50
LMA \times Species	0.58
LMA \times Canopy layer	0.66
LMA \times Canopy layer \times Species	0.70
LMA \times Height \times Species	0.73
N content	0.45
N content \times Species	0.63
N content \times Canopy layer	0.66
N content \times Canopy layer \times Species	0.72
N content \times Height \times Species	0.75
Photosynthetic capacity	0.61
Photosynthetic capacity \times Species	0.63
Photosynthetic capacity \times Canopy layer	0.71

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Photosynthetic capacity × Canopy layer × Species 0.72

Photosynthetic capacity × Height × Species 0.75

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6 726 **Figure Legends**7
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10 728 Figure 1. Box plots of per unit area foliar respiration and wood CO₂ efflux rates show that the
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12 729 rates vary more within than between species and are generally higher in the overstory. Open
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14 730 boxes represent overstory, and grey boxes represent understory. Solid squares show means. The
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16 731 data were normally distributed for foliage, but were not for wood with skew toward zero and
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18 732 long tail of larger flux values.
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22 734 Figure 2. The variation in foliar respiration was related to LMA, N content, and photosynthetic
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24 735 capacity across canopy layers but only marginally within. Filled points represent overstory, and
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26 736 open points represent understory. Circles represent *Hieronyma*, squares *Pentaclethra*, diamonds
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28 737 *Virola*, and triangles *Vochysia*. Thin lines were drawn across canopy layers, using the models
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30 738 from Table 2.1, with only LMA (intercept = 0.067, slope = 0.0052), N content (0.088, 0.24), or
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32 739 photosynthetic capacity (0.061, 0.054). Thick lines were drawn using the models with canopy
33
34 740 layer and LMA (intercept = 0.61, slope = 0.011 for overstory; 0.18, 0.011 for understory), N
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36 741 content (0.58, 0.064; 0.26, -0.01), or photosynthetic capacity (0.42, 0.027; 0.20, 0.011).
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41 744 Figure 3. Relationship between height and foliar respiration calculated as leaf area, mass, N, and
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43 745 photosynthetic capacity based rates show that the increase in foliar respiration with height is
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45 746 mostly explained by increases in LMA, N content, and photosynthetic capacity. Filled points
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47 747 represent overstory, and open points represent understory. Circles represent *Hieronyma*, squares
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49 748 *Pentaclethra*, diamonds *Virola*, and triangles *Vochysia*. See text for regression line equations.
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749 Figure 4. The variation in ln-transformed rate of wood CO₂ efflux per mass was related to
750 surface area per mass (top) more than log-transformed rate of wood CO₂ efflux per surface area
751 was related to mass per surface area (bottom). Regression lines were drawn with intercept = -3.2
752 and slope = 3.6 for top plot, and -0.66 and 0.030 for bottom plot.

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754 Figure 5. Change in total foliar respiration estimated from leaf-level rates, assuming total LAI of
755 6 and understory respiration rate of 0.25 μmol m⁻² s⁻¹. Total foliar respiration was
756 underestimated if the overstory rate was unaccounted for, and the bias increased with the ratio of
757 overstory to understory rates. The bias also increased slightly with the ratio of overstory to
758 understory LAI.

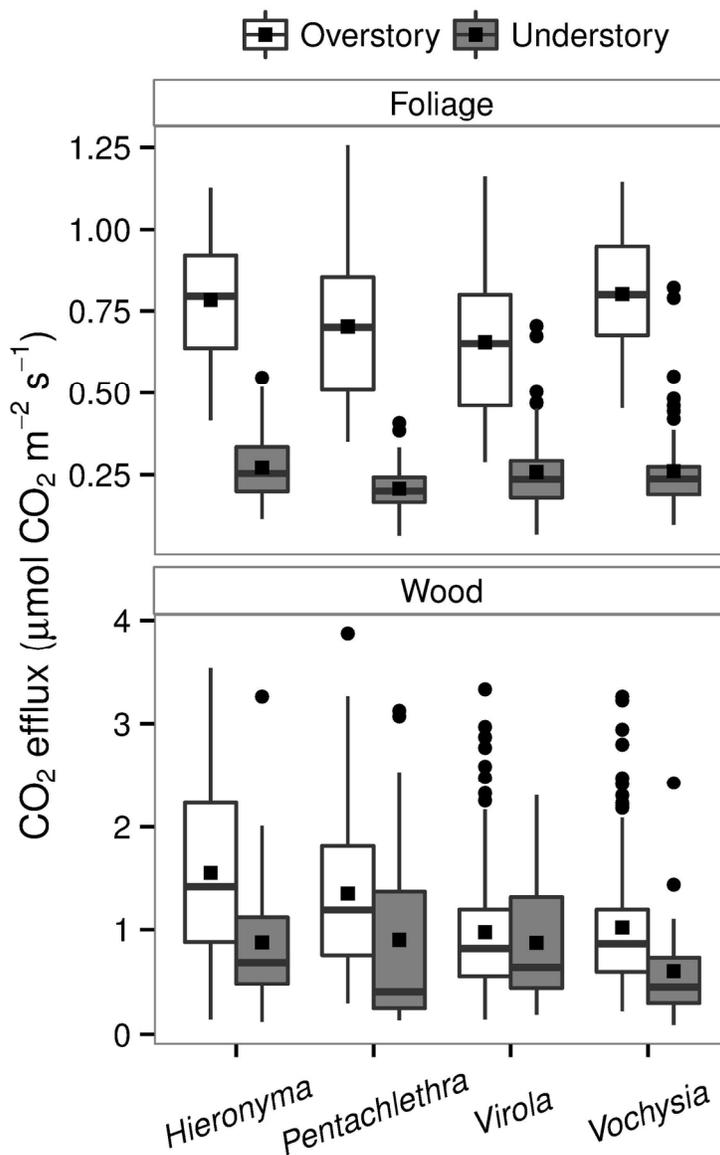
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760 Figure 6. Change in total wood CO₂ efflux estimated from tissue-level rates, assuming total
761 wood mass of 20,000 g m⁻² and large wood CO₂ efflux rate of 0.06 nmol g⁻¹ s⁻¹. Total wood CO₂
762 efflux was underestimated if wood CO₂ efflux rate for small wood was unaccounted for, and the
763 bias increased with the ratio of small to large wood rates. The bias decreased with the ratio of
764 small to large wood mass.

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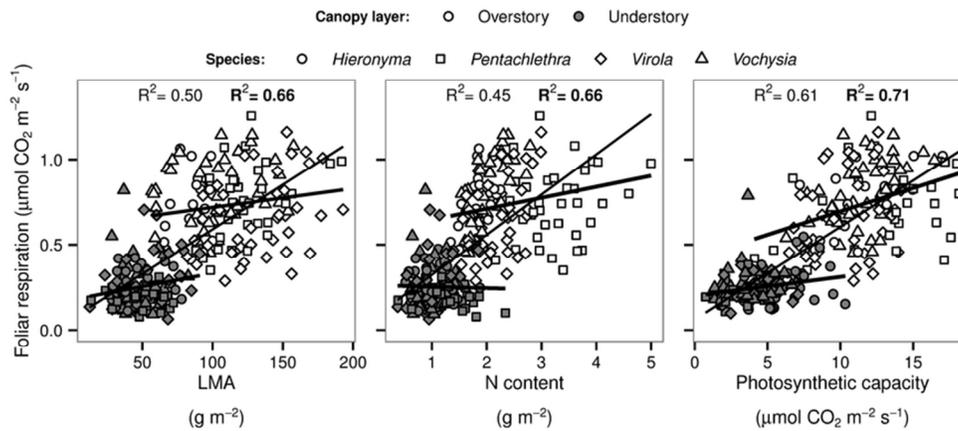
766 Figure 7. Variation in Q_{10} increased the multiplicative effect of temperature variation on annual
767 respiration estimated using constant temperature. The variation in temperature was assumed to
768 follow sinusoidal cycle daily and annually, and the amplitude of the annual cycle is 1/4th of the
769 amplitude of the daily cycle.

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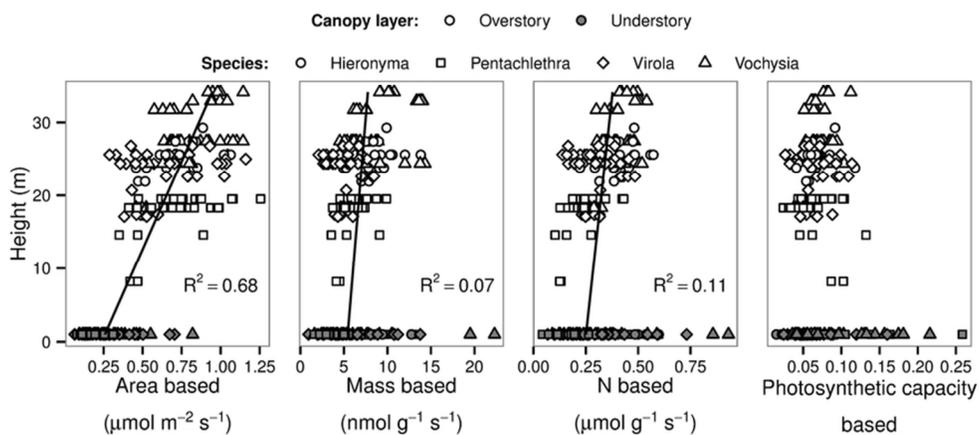
Box plots of per unit area foliar respiration and wood CO₂ efflux rates show that the rates vary more within than between species and are generally higher in the overstory. Open boxes represent overstory, and grey boxes represent understory. Solid squares show means. The data were normally distributed for foliage, but were not for wood with skew toward zero and long tail of larger flux values.

130x206mm (300 x 300 DPI)



The variation in foliar respiration was related to LMA, N content, and photosynthetic capacity across canopy layers but only marginally within. Filled points represent overstory, and open points represent understory. Circles represent *Hieronyma*, squares *Pentaclethra*, diamonds *Virola*, and triangles *Vochysia*. Thin lines were drawn across canopy layers, using the models from Table 2.1, with only LMA (intercept = 0.067, slope = 0.0052), N content (0.088, 0.24), or photosynthetic capacity (0.061, 0.054). Thick lines were drawn using the models with canopy layer and LMA (intercept = 0.61, slope = 0.011 for overstory; 0.18, 0.011 for understory), N content (0.58, 0.064; 0.26, -0.01), or photosynthetic capacity (0.42, 0.027; 0.20, 0.011).

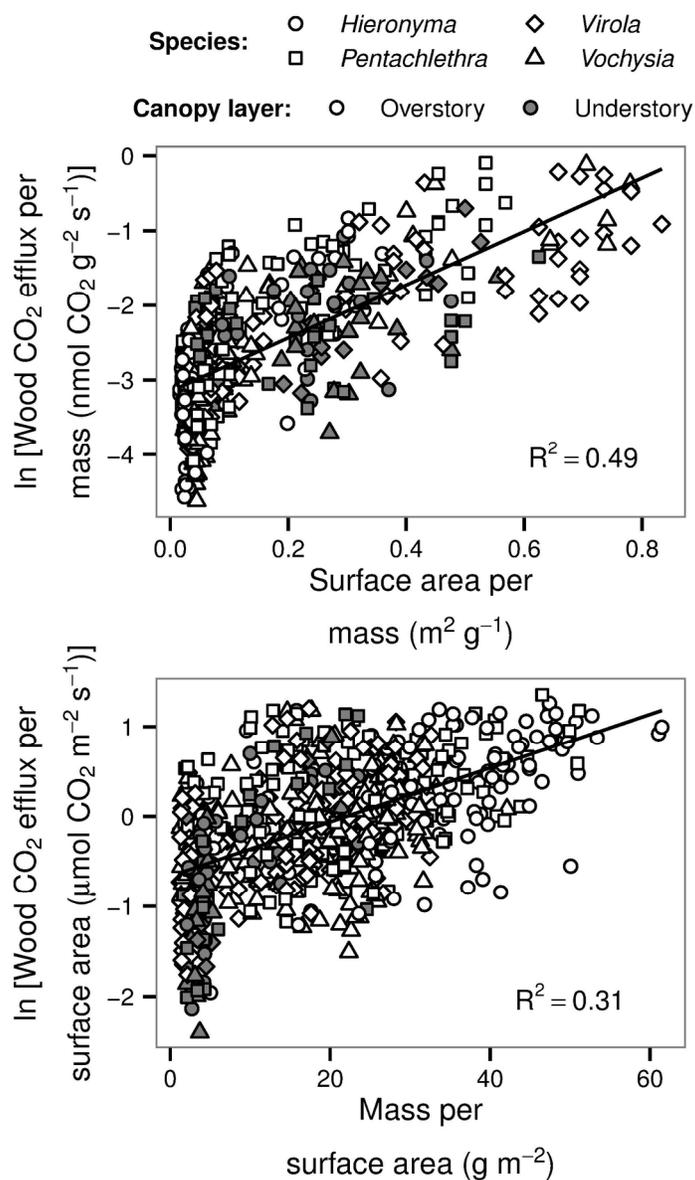
76x33mm (300 x 300 DPI)



Relationship between height and foliar respiration calculated as leaf area, mass, N, and photosynthetic capacity based rates show that the increase in foliar respiration with height is mostly explained by increases in LMA, N content, and photosynthetic capacity. Filled points represent overstory, and open points represent understory. Circles represent *Hieronyma*, squares *Pentaclethra*, diamonds *Virola*, and triangles *Vochysia*. See text for regression line equations.

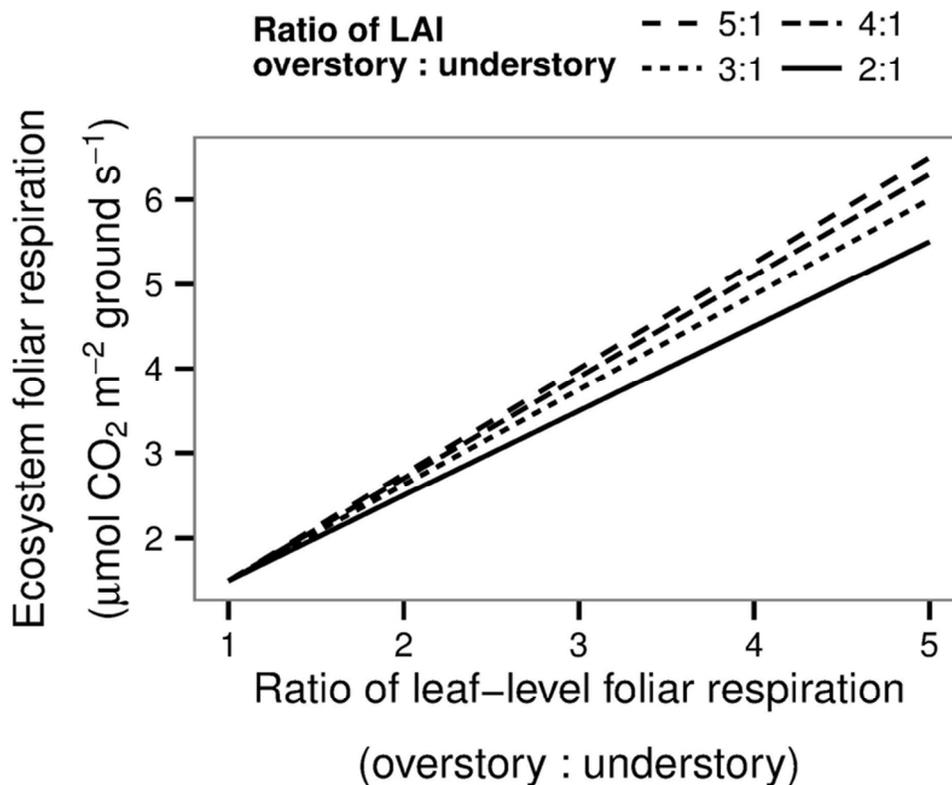
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Peer Review



The variation in ln-transformed rate of wood CO₂ efflux per mass was related to surface area per mass (top) more than log-transformed rate of wood CO₂ efflux per surface area was related to mass per surface area (bottom). Regression lines were drawn with intercept = -3.2 and slope = 3.6 for top plot, and -0.66 and 0.030 for bottom plot.

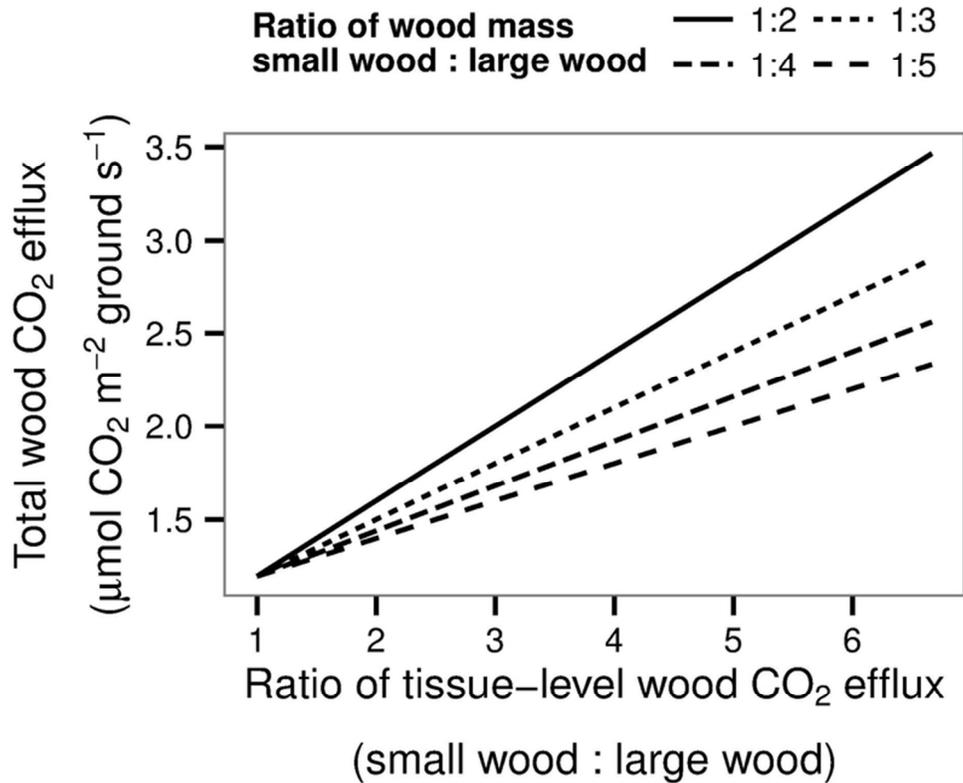
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Change in total foliar respiration estimated from leaf-level rates, assuming total LAI of 6 and understory respiration rate of $0.25 \mu\text{mol m}^{-2} \text{ s}^{-1}$. Total foliar respiration was underestimated if the overstory rate was unaccounted for, and the bias increased with the ratio of overstory to understory rates. The bias also increased slightly with the ratio of overstory to understory LAI.

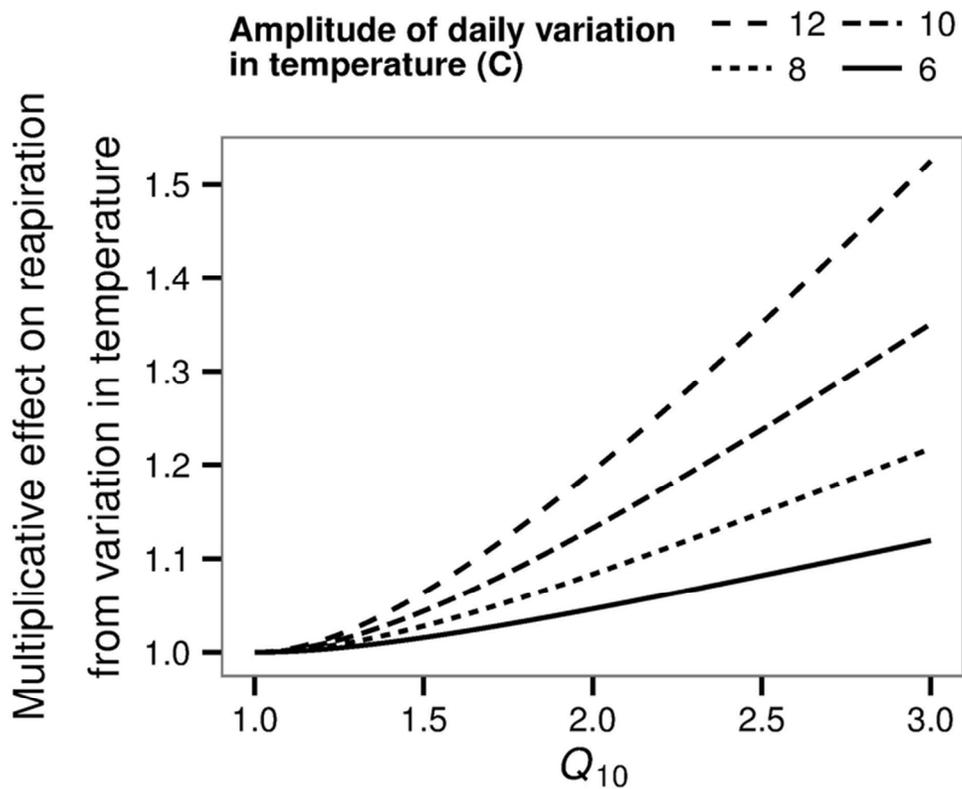
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Change in total wood CO₂ efflux estimated from tissue-level rates, assuming total wood mass of 20,000 g m⁻² and large wood CO₂ efflux rate of 0.06 nmol g⁻¹ s⁻¹. Total wood CO₂ efflux was underestimated if wood CO₂ efflux rate for small wood was unaccounted for, and the bias increased with the ratio of small to large wood rates. The bias decreased with the ratio of small to large wood mass.

69x59mm (300 x 300 DPI)



35 Variation in Q_{10} increased the multiplicative effect of temperature variation on annual respiration estimated
36 using constant temperature. The variation in temperature was assumed to follow sinusoidal cycle daily and
37 annually, and the amplitude of the annual cycle is 1/4th of the amplitude of the daily cycle.
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